

EXHIBIT M

PandiaRYAN KIBILOSKI ([sign out](#))Current Book of Business: [All Books](#)[Client Detail](#)• [show/hide navigation](#)• [client focus](#)• [documents](#)• [report suite](#)• [help](#)• [print](#)**REDACTED**

Status: Active

[\(46\)](#)[\(0\)](#)[\[x\]](#)

LaSalle Mortgage Services Client Sheet			June 7, 2007
SOCIAL SECURITY #	HOME BRANCH	SOUTH POINTE (306)	
HOME PHONE	SERVICING BRANCH	NAPERVILLE DOWNTOWN (235)	
WORK PHONE	SERVICING FC	RYAN KIBILOSKI	
BIRTH DATE	FC ASSIGNED TO CLIENT	6 months	
AGE	PREVIOUS FC		

[\[x\]](#)

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
[x] NES		
REDACTED		
	TRANSACTIONS - POSITIONS	\$298,110.69
	TRANSACTIONS - POSITIONS	\$98,756.66
TOTAL		\$396,867.35
GRAND TOTAL		\$396,867.35

[\[x\]](#)

PandiaRYAN KIBILOSKI ([sign out](#))Current Book of Business: [All Books](#)[Home](#) > [CLIENT FOCUS](#) > [CLIENT SEARCH](#)

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1 CLIENT FOUND WHERE CLIENT FIRST
NAME STARTS WITH NI AND CLIENT LAST
NAME STARTS WITH

- [ADD TO MY FAVORITES](#)



<u>LAST</u> <u>NAME</u> ▲	<u>FIRST</u> <u>NAME</u>	<u>CREATED</u> <u>DATE</u>	<u>CLIENT ADDRESS</u>	<u>BANK</u>	<u>BRANCH</u> <u>NAME</u>	<u>SERVICING</u> <u>BANK</u>	<u>SERVICING</u> <u>BRANCH</u> <u>CODE</u>	<u>SERVICING</u> <u>BRANCH NAME</u>	<u>FCNAME</u>	<u>CLIENT</u> <u>BALANCE</u>
				280	SOUTH POINTE	96	235	NAPERVILLE DOWNTOWN (235)	KIBILOSKI, RYAN (A22)	\$396,867.35

REDACTED

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RYAN KIBLOSKI ([sign out](#))

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CREATE CLIENT LIST WHERE...

SSN/TAXID	is equal to	<input type="text"/>	<input type="button" value="SEARCH"/>
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OR

CLIENT NAME	starts with	<input type="text"/>	<input type="button" value="SEARCH"/>
<small>LAST NAME</small>		<input type="text"/>	

OR

<input checked="" type="checkbox"/> MY FAVORITE SEARCHES	<input type="text"/>	<input type="button" value="SEARCH"/>
<small>MOST RECENT SEARCHES</small>		
<input type="text"/>		
<input type="button" value="SEARCH"/>		

EXHIBIT N

Pandia

DAVID SWANSON (app out)

Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

- ☒ Home Policy Book
- ☒ Under Navigation
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REDACTED

Status: Active

☒ (37)☒ (6)

LaSalle Financial Services Client Sheet	
SOCIAL SECURITY #	HOME BRANCH HAFERVILLE (126)
HOME PHONE	SERVICING BRANCH HAFERVILLE (126)
WORK PHONE	SERVICING FC DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT 1 year 3 months
AGE	PREVIOUS FC
PROFILE NAME:	Last Modified: August 21, 2006
Main Scenario - 8/22/2006	Account Title:
PROFILE PERSON ID	OCCUPATION
MARITAL STATUS	EMPLOYEE
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET
ESTIMATED NET WORTH	EST. LIQUID NET WORTH
RISK TOLERANCE	OBJECTIVE 1
TIME HORIZON	OBJECTIVE 2

REDACTED

PROVIDER / ACCOUNT NUMBER		ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE			
TOTAL	REDACTED	TRANSACTIONS - POSITIONS	\$51,372.42
			\$51,372.42
<input checked="" type="checkbox"/> JOHN HANCOCK LIFE INSURANCE			
TOTAL	REDACTED	TRANSACTIONS - POSITIONS	\$19,341.39
			\$19,341.39
<input checked="" type="checkbox"/> NATIONWIDE LIFE INSURANCE			
TOTAL	REDACTED	TRANSACTIONS - POSITIONS	\$21,602.11
			\$21,602.11
<input checked="" type="checkbox"/> NFS			
TOTAL	REDACTED	TRANSACTIONS - POSITIONS	\$103,162.22
			\$103,162.22
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)			
TOTAL	REDACTED		\$0.00
			\$0.00
GRAND TOTAL			\$195,478.15

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

- ☒ [LaSalle Retirement Tools](#)
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REDACTED

Status: Active

☐ (12)☐ (16)

LaSalle Financial Services Client Data		
SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	4 years 10 months
AGE	PREVIOUS FC	STEPHEN JOHNSON
PROFILE NAME:		Last Modified: November 13, 2007
Main Scenario - 11/13/2007		Account Title:
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

54

SHOW CLOSED ACCOUNT(S)

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input type="checkbox"/> NPS		
TNC25	TRANSACTIONS - POSITIONS	\$75,921.05
TOTAL		\$75,921.05
<input type="checkbox"/> CLOSED ACCOUNT(S)		
		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$75,921.05

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DAVID SWANSON ([sign out](#))

Current Book of Business: DAVID SWANSON (KTR)

> Client Detail

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REDACTED

Status: Active

(42)

(0)

LaSalle Financial Services Client Service		May 17, 2008
SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year 7 months
AGE	PREVIOUS FC	
PROFILE NAME	Last Modified:	November 5, 2007
Main Scenario - 11/9/2007	Account Title:	
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
NFS		
	TRANSACTIONS - POSITIONS	\$501,211.24
	TRANSACTIONS - POSITIONS	\$206,416.80
	TRANSACTIONS - POSITIONS	\$201,323.43
TOTAL		\$908,951.47
GRAND TOTAL		\$908,951.47

REDACTED

Pandia

DAVID SWANSON ([app out](#))

Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

- ☒ [Home Delivery Page](#)
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REDACTED

Status: Active

- ☒ (15)
- ☒ (11)

LaSalle Financial Services Client Since	
SOCIAL SECURITY #	HOME BRANCH HAFERVILLE (126)
HOME PHONE	SERVICING BRANCH HAFERVILLE (126)
WORK PHONE	SERVICING FC DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT 1 year 2 months
AGE	PREVIOUS FC BARBARA WAGNER
PROFILE NAME	Last Modified September 26, 2006
Main Scenario - 9/26/2006	Account Title
PROFILE-PERSON ID	OCCUPATION
MARITAL STATUS	EMPLOYER
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET
ESTIMATED NET WORTH	EST. LIQUID NET WORTH
RISK TOLERANCE	OBJECTIVE 1
TIME HORIZON	OBJECTIVE 2

REDACTED

SHOW CLOSED ACCOUNT(S)	
PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS
<input checked="" type="checkbox"/> GENWORTH FINANCIAL	
	TRANSACTIONS - POSITIONS
TOTAL	\$256,942.16
	\$256,942.16
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)	
	\$0.00
TOTAL	\$0.00
GRAND TOTAL	\$256,942.16

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KTB)
Client Detail
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REDACTED

Status: Active

(x)
(0)

Lafayette Financial Services Client Sheet		March 24, 2005	
SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)	
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)	
WORK PHONE	SERVICING FC	DAVID SWANSON	
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year 9 months	
AGE	PREVIOUS FC		
PROFILE NAME		Last Modified: May 2, 2005	
UNTITLED PROFILE - 5/2/2005		Account Title:	
PROFILE PERSON ID	OCCUPATION		
MARITAL STATUS	EMPLOYER		
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET		
ESTIMATED NET WORTH	EST. LIQUID NET WORTH		
RISK TOLERANCE	OBJECTIVE 1		
TIME HORIZON	OBJECTIVE 2		

REDACTED

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINES	MARKET VALUE
NFS		
	TRANSACTIONS - POSITIONS	\$78,547.85
TOTAL		\$78,547.85
GRAND TOTAL		\$78,547.85

REDACTED

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: **DAVID SWANSON (KTS)**

> Client Detail

- ☒ [My Client Detail](#)
- ☐ [My Client Detail](#)
- [client focus](#)
- [documents](#)
- [report rate](#)
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REDACTED

LaSalle Financial Services Client Data		
TAX ID NUMBER	HOME BRANCH	HINSDALE-TORR RD (203)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	N/A (At Least 5 years 8 months)
AGE	PREVIOUS PC	
PROFILE NAME		Last Modified: September 27, 2007
Louisa Gehlmann Reti - 9/27/2007		Account Title:
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

☒ (20)

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☒ SHOW CLOSED ACCOUNT(S)

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE		
	TRANSACTIONS - POSITIONS	\$20,152.02
TOTAL		\$20,152.02
<input checked="" type="checkbox"/> JACKSON NATIONAL LIFE		
	TRANSACTIONS - POSITIONS	\$27,772.78
TOTAL		\$27,772.78
<input checked="" type="checkbox"/> NFS		
	TRANSACTIONS - POSITIONS	\$1,189,232.88
	TRANSACTIONS - POSITIONS	\$80,592.46
TOTAL		\$1,269,825.34
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		
		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$1,317,750.15

REDACTED

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: DAVID SWANSON (KTB)

> Client Detail

☒ [If One Deficiency Item](#)
☒ [Wide Navigation](#)

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- [documents](#)
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- [help](#)
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REDACTED

Status: Active

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Lacerte Financial Services Client Data		
SOCIAL SECURITY #	HOME BRANCH	HINSDALE-TORR RD (203)
HOME PHONE	SERVICING BRANCH	HAFERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	N/A (At Least 6 years 6 months)
AGE	PREVIOUS FC	
PROFILE NAME:	Last Modified:	May 15, 2007
Main Scenario - 5/15/2007	Account Title:	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

SHOW CLOSED ACCOUNT(S)

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINES	MARKET VALUE
<input checked="" type="checkbox"/> NPS		
TRANSACTIONS - POSITIONS		\$109,126.71
TOTAL		\$109,126.71
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		
		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$109,126.71

REDACTED

Pandia

DAVID SWANSON ([sign out](#))

Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

- [\[X\] 14 Open Referrals from
Lawrence Davidson](#)
 - [client focus](#)
 - [documents](#)
 - [report suite](#)
-
- [help](#)
 - [print](#)

REDACTED

Status: Active

[\[X\]](#) (16)

[\[X\]](#) (0)

LaSalle Financial Services Client Sheet		June 13, 2007	
SOCIAL SECURITY #	HOME BRANCH	NAPEVILLE (126)	
HOME PHONE	SERVICING BRANCH	NAPEVILLE (126)	
WORK PHONE	SERVICING FC	DAVID SWANSON	
BIRTH DATE	FC ASSIGNED TO CLIENT	6 months	
AGE	PREVIOUS FC		
PROFILE NAME	Last Modified:	June 13, 2007	
Ann TOD - 5/13/2007	Account Title:		
PROFILE-PERSON ID	OCCUPATION		
MARITAL STATUS	EMPLOYER		
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET		
ESTIMATED NET WORTH	EST. LIQUID NET WORTH		
RISK TOLERANCE	OBJECTIVE 1		
TIME HORIZON	OBJECTIVE 2		

REDACTED

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> NFS		
	TRANSACTIONS - POSITION#	\$86,241.18
TOTAL		\$86,241.18
GRAND TOTAL		\$86,241.18

REDACTED

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: **DAVID SWANSON (KT8)**

> Client Detail

☒ [In Case Deficiency Review](#)
☒ [Write Narrative](#)

- [client focus](#)
- [documents](#)
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- [print](#)

REDACTED

REDACTED

REDACTED

REDACTED

LaSalle Financial Services Client Data		April 19, 2007	
SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE DOWNTOWN (235)	
HOME PHONE	SERVICING BRANCH	NAPERVILLE DOWNTOWN (235)	
WORK PHONE	SERVICING FC	DAVID SWANSON	
BIRTH DATE	FC ASSIGNED TO CLIENT	8 months	
AGE	PREVIOUS FC		
PROFILE NAME	Last Modified:	April 30, 2007	
Main Scenario - 4/20/2007	Account Title:		
PROFILE-PERSON ID	OCCUPATION		
MARITAL STATUS	EMPLOYER		
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET		
ESTIMATED NET WORTH	EST. LIQUID NET WORTH		
RISK TOLERANCE	OBJECTIVE 1		
TIME HORIZON	OBJECTIVE 2		

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINES	MARKET VALUE
<input checked="" type="checkbox"/> NFS		
	TRANSACTIONS - POSITIONS	\$29,805.77
TOTAL		\$29,805.77
GRAND TOTAL		\$29,805.77

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

- ☒ My Client Summary Page
- White Navigator
- client focus
- documents
- report suite
- help
- print

REDACTED

Status: Active

☒ (13)☒ (6)

LaSalle Financial Services Client Data		
SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPERVILLE SOUTH (210)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year 10 months
AGE	PREVIOUS FC	STEPHEN JOHNSON
PROFILE NAME		Last Modified: June 11, 2007
Main Scenario - 6/11/2007		Account Title
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	0
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	\$0.00
RISK TOLERANCE	OBJECTIVE 1	n/a
TIME HORIZON	OBJECTIVE 2	n/a

REDACTED

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> NFS		
TRANSACTIONS - POSITIONS		\$482,981.96
TOTAL		\$482,981.96
GRAND TOTAL		\$482,981.96

REDACTED

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: DAVID SWANSON (KTB)

> Client Detail

- ☒ [11 Open Policyview Items](#)
- [Office Navigation](#)
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- [report suite](#)
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REDACTED

Status: Active

☒ (37)☒ (0)

LaSalle Financial Services Client Sheet		
SOCIAL SECURITY #	HOME BRANCH	PLAINFIELD (221)
HOME PHONE	SERVICING BRANCH	PLAINFIELD (221)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	8 months
AGE	PREVIOUS PC	SERVICE BY LEE ONLY
PROFILE NAME		Last Modified: April 23, 2007
Main Scenario - 4/23/2007		Account Title:
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

REDACTED

☒

SHOW CLOSED ACCOUNT(S)

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE	TRANSACTIONS - POSITIONS	\$260,712.63
TOTAL		\$260,712.63
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$114,871.01
TOTAL		\$114,871.01
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$375,583.64

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REDACTED

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: DAVID SWANSON (KTB)

> Client Detail

- [Jackson National Life](#)
- [Window Navigation](#)

- [client focus](#)
- [documents](#)
- [report suite](#)

- [help](#)
- [print](#)

REDACTED

Status: Active

☐ (2)☐ (1)

Lynette Financial Services Client Sheet			
SOCIAL SECURITY #	HOME BRANCH	LACEANGE (136)	
HOME PHONE	SERVICING BRANCH	NAPEERVILLE (126)	
WORK PHONE	SERVICING FC	DAVID SWANSON	
BIRTH DATE	FC ASSIGNED TO CLIENT	7 years 6 months	
AGE	PREVIOUS FC	DENNIS FOLEY	
PROFILE NAME:	Last Modified:	April 22, 2005	
Jim Annuity - 4/22/2005	Account Title:		
PROFILE PERSON ID	OCCUPATION		
MARITAL STATUS	EMPLOYER		
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET		
ESTIMATED NET WORTH	EST. LIQUID NET WORTH		
RISK TOLERANCE	OBJECTIVE 1		
TIME HORIZON	OBJECTIVE 2		

REDACTED

☐ 1

SHOW CLOSED ACCOUNT(S)

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input type="checkbox"/> JACKSON NATIONAL LIFE		
	TRANSACTIONS - POSITIONS	\$158,799.19
TOTAL		\$158,799.19
<input type="checkbox"/> NFS		
	TRANSACTIONS2 - POSITIONS	\$25,367.73
TOTAL		\$25,367.73
<input type="checkbox"/> CLOSED ACCOUNT(S)		
		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$184,166.92

☐ 2

REDACTED

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: DAVID SWANSON (KTB)

> Client Detail

☒ [Home Page](#)☒ [Home Page](#)[client focus](#)[documents](#)[report suite](#)[help](#)[print](#)

REDACTED

Status: Active

☒ (22)☒ (0)

Lacelle Financial Services Client Sheet		
SOCIAL SECURITY #	HOME BRANCH	LACEANGE (136)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	N/A (At Least 6 months & months)
AGE	PREVIOUS FC	
PROFILE NAME:	Last Modified:	July 17, 2007
Main Scenario - 7/17/2007	Account Title	
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> NFS		
	TRANSACTIONS - POSITIONS	\$292,240.18
	TRANSACTIONS - POSITIONS	\$4,286.44
TOTAL		\$296,526.62
GRAND TOTAL		\$296,526.62

REDACTED

Pandia

DAVID SWANSON [\(sign out\)](#)
Current Book of Business: [DAVID SWANSON \(KTB\)](#)
 > Client Detail

- [Home Business Setup](#)
- [Windows Navigator](#)
- [client focus](#)
- [documents](#)
- [report suite](#)

- [help](#)
- [print](#)

REDACTED

Status: Active

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(0)

LaSalle Financial Services Client Sheet

June 11, 2007

SOCIAL SECURITY #

HOME PHONE

WORK PHONE

BIRTH DATE

AGE

PROFILE NAME

UNTITLED PROFILE - 6/7/2007

PROFILE PERSON ID

MARITAL STATUS

APPROX. ANNUAL INCOME

ESTIMATED NET WORTH

RISK TOLERANCE

TIME HORIZON

HOME BRANCH

SERVICING BRANCH

SERVICING FC

FC ASSIGNED TO CLIENT

PREVIOUS FC

OCCUPATION

EMPLOYER

FEDERAL TAX BRACKET

EST. LIQUID NET WORTH

OBJECTIVE 1

OBJECTIVE 2

Last Modified: June 7, 2007

Account Title:

REDACTED

PROVIDER / ACCOUNT NUMBER	ACCOUNT LEGEND	MARKET VALUE
NPS		
TRANSACTIONS - POSITIONS		\$292,616.24
TOTAL		\$292,616.24
GRAND TOTAL		\$292,616.24

REDACTED

Pandia

DAVID SWANSON ([sign out](#))

Current Book of Business: [DAVID SWANSON \(KT8\)](#)

> Client Detail

- [14-Day Policy Request](#)
- [wide navigation](#)
- [client focus](#)
- [documents](#)
- [report state](#)
- [help](#)
- [print](#)

REDACTED

Status: Active

(32)

(10)

LaSalle Financial Services Client Data		March 24, 2006
SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE SOUTH (210)
HOME PHONE	SERVICING BRANCH	NAPERVILLE SOUTH (210)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year 9 months
AGE	PREVIOUS FC	
PROFILE NAME		Last Modified: April 15, 2006
Main Scenario - 4/15/2006		Account Title:
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
NFS		
TRANSACTIONS - POSITIONS		
TOTAL		\$591,186.33
GRAND TOTAL		\$591,186.33

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KIT3)
> Client Detail
• [Client Detail](#)
• [client focus](#)
• [documents](#)
• [report suite](#)

• [help](#)
• [print](#)

REDACTED

Status: Active

(0)
(0)

LaSalle Financial Services Client Space

SOCIAL SECURITY #	HOME BRANCH	HINSDALE, YORK RD (203)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	N/A (At Least 6 years 6 months)
AGE	PREVIOUS PC	
PROFILE NAME:		Last Modified: December 1, 2003
UNTITLED PROFILE - 12/1/2003		Account Title:
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
JACKSON NATIONAL LIFE	TRANSACTIONS - POSITIONS	\$85,674.69
TOTAL		\$85,674.69
GRAND TOTAL		\$85,674.69

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KTS)

- > Client Detail
 - ☒ Home Office Data
 - Services Navigation
 - client focus
 - documents
 - report suite
- help
- print

REDACTED

Status: Active

- ☒ (23)
- ☒ (0)

Lafayette Financial Services Client Sheet	
SOCIAL SECURITY #	HOME BRANCH NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH NAPERVILLE (126)
WORK PHONE	SERVICING FC DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT 2 years 10 months
AGE	PREVIOUS FC STEPHEN JOHNSON
PROFILE NAME	Last Modified March 9, 2005
Main Scenario - 3/9/2005	Account Title
PROFILE-PERSON ID	OCCUPATION
MARITAL STATUS	EMPLOYER
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET
ESTIMATED NET WORTH	EST. LIQUID NET WORTH
RISK TOLERANCE	OBJECTIVE 1
TIME HORIZON	OBJECTIVE 2

REDACTED

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> NFS		
TRANSACTIONS - POSITIONS		\$138,427.74
TOTAL		\$138,427.74
GRAND TOTAL		\$138,427.74

REDACTED

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

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- ☒ [Home Navigation](#)

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REDACTED

LaSalle Financial Services Client Survey		
SOCIAL SECURITY #	HOME BRANCH	LAGEARGE (136)
HOME PHONE	SERVICING BRANCH	NAFERVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	N/A (At Least 6 years 6 months)
AGE	PREVIOUS PC	
PROFILE NAME:		Last Modified: April 14, 2006
Main Scenario - 4/14/2006		Account Title:
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	
	OBJECTIVE 3	

REDACTED

SHOW CLOSED ACCOUNTS		
PROVIDER / ACCOUNT NUMBER	ACCOUNT LINE	MARKET VALUE
<input checked="" type="checkbox"/> JOHN HANCOCK VENTURE ANNUITIES		
	TRANSACTIONS - POSITIONS	\$56,693.49
TOTAL		\$56,693.49
<input checked="" type="checkbox"/> NATIONWIDE LIFE INSURANCE		
	TRANSACTIONS - POSITIONS	\$93,533.96
TOTAL		\$93,533.96
<input checked="" type="checkbox"/> NFS		
	TRANSACTIONS - POSITIONS	\$134,099.67
	TRANSACTIONS - POSITIONS	\$59,851.35
TOTAL		\$193,951.03
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		
		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$344,178.49

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (RTS)

> Client Detail

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 - ☒ Provide Information
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 - [documents](#)
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-
- [help](#)
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REDACTED

Status: Active

- ☒ (16)
- ☒ (1)

LaSalle Financial Services Client Space

SOCIAL SECURITY #	HOME BRANCH	PALOS HILLS (120)
HOME PHONE	SERVICING BRANCH	NAPEVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	9 months
AGE	PREVIOUS FC	JONATHAN DAWSON
PROFILE NAME:		Last Modified: September 27, 2007
Main Scenario - 9/27/2007		Account Title:
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

SHOW CLOSED ACCOUNT(S)

PROVIDER / ACCOUNT NUMBER	ACCOUNT LOOKS	MARKET VALUE
<input checked="" type="checkbox"/> GENWORTH FINANCIAL	TRANSACTIONS - POSITIONS	\$12,988.72
TOTAL		\$12,988.72
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$107,001.98
TOTAL		\$107,001.98
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$119,990.70

[2]

REDACTED

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

- ☒ [14 Day Policy Rep.](#)
- ☒ [Lowside Navigation](#)

- [client focus](#)
- [documents](#)
- [report suite](#)

- [help](#)
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REDACTED

Status: Active

☒ (11)☒ (0)

Life Insurance Services Client Data		
SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year
AGE	PREVIOUS FC	SERVICE BY LBE ONLY
PROFILE NAME:		Last Modified: July 25, 2006
UNTITLED PROFILE - 7/25/2006		Account Title:
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINES	MARKET VALUE
<input checked="" type="checkbox"/> JOHN HANCOCK LIFE INSURANCE		
	TRANSACTIONS - POSITIONS	\$6,506.88
TOTAL		\$6,586.88
<input checked="" type="checkbox"/> NFI		
	TRANSACTIONS - POSITIONS	\$53,904.69
TOTAL		\$53,984.69
GRAND TOTAL		\$60,411.57

REDACTED

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: [DAVID SWANSON \(KT8\)](#)> [CLIENT FOCUS](#) > [CLIENT SEARCH](#)

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230 CLIENTS FOUND WHERE ASSET VALUE IS GREATER THAN \$25,000.00 • [ADD TO MY FAVORITES](#)



<u>LAST NAME</u> ▲	<u>FIRST NAME</u>	<u>CREATED DATE</u>	<u>CLIENT ADDRESS</u>	<u>BANK</u>	<u>BRANCH NAME</u>	<u>SERVICING BANK</u>	<u>SERVICING BRANCH CODE</u>	<u>SERVICING BRANCH NAME</u>	<u>CLIENT BALANCE</u>
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$25,140.83
				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$51,692.42
				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$112,503.14
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$192,830.21
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$60,131.24
				96	WILLOWBROOK	96	126	NAPERVILLE (126)	\$130,231.96
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$68,267.55
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$36,307.32
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$231,488.12
				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$101,008.17
				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$32,677.19
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$31,269.59
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$30,997.58
				96	LAGRANGE	96	126	NAPERVILLE (126)	\$100,495.01
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$40,628.69
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$114,072.94
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$113,892.52
				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$59,261.97
				96	NORRIDGE	96	126	NAPERVILLE (126)	\$147,912.86
				96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$335,670.40
				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$310,501.78
				96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235)	\$81,998.70
				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$281,421.85
				96	NAPERVILLE	96	126	NAPERVILLE (126)	\$36,232.65

REDACTED

REDACTED

96	NAPERVILLE	96	126	NAPERVILLE (126)	\$30,770.00
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$32,698.06
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$52,189.20
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$27,891.29
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$591,186.33
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$45,888.64
96	SCHAUMBURG	96	79	SCHAUMBURG (79)	\$127,469.08
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$108,965.69
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$94,236.72
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$29,947.58
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$80,788.09
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$54,463.77
96	GLEN ELLYN NORTH	96	92	GLEN ELLYN NORTH (92)	\$62,324.08
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$62,447.43
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$150,183.73
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$101,610.55
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$50,007.28
		96	92	GLEN ELLYN NORTH (92)	\$116,549.42
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$52,374.30
96	WESTMONT	96	126	NAPERVILLE (126)	\$106,064.97
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$322,252.23
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$48,963.30
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$28,397.59
96	LAGRANGE	96	126	NAPERVILLE (126)	\$71,966.03
		96	92	GLEN ELLYN NORTH (92)	\$430,209.88
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$91,606.39
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$39,092.95
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$106,763.10
96	LAGRANGE	96	126	NAPERVILLE (126)	\$46,243.21
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$444,623.66
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$26,151.61
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$72,787.27
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$42,075.21
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$50,708.47
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$51,715.11
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$122,130.98
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$72,721.50
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$44,352.78

REDACTED

96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$51,076.95
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$40,466.50
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$85,674.69
96	VILLAGE CROSSING	96	126	NAPERVILLE (126)	\$69,265.52
96	GLEN ELLYN SOUTH	96	3	GLEN ELLYN SOUTH (3)	\$43,069.53
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$129,436.87
96	GLEN ELLYN SOUTH	96	3	GLEN ELLYN SOUTH (3)	\$38,785.18
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$34,493.17
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$69,734.20
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$75,921.05
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$352,697.32
					\$26,404.43
					\$35,959.28
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$61,874.31
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$33,973.10
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$254,830.58
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$26,208.83
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$37,983.62
96	WILLOWBROOK	96	126	NAPERVILLE (126)	\$77,392.57
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$30,585.77
96	WESTMONT	96	66	WESTMONT (66)	\$47,736.52
96	HINSDALE-YORK RD	96	203	HINSDALE-YORK RD (203)	\$29,943.77
96	ORLAND PARK	96	126	NAPERVILLE (126)	\$58,971.05
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$88,640.47
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$197,151.00
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$47,690.56
96	WARRENVILLE	96	230	WARRENVILLE (230)	\$90,267.83
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$100,785.55
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$91,938.42
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$275,909.50
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$542,383.96
		96	52	LISLE MAIN (52)	\$59,413.66
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$154,135.91
96	GREEKTOWN	96	237	GREEKTOWN (237)	\$348,521.00
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$64,106.64
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$399,354.28
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$214,322.29
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$34,078.90
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$51,076.95

REDACTED

96	NAPERVILLE	96	126	NAPERVILLE (126)	\$81,019.63
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$79,515.46
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$280,654.40
96	ELMHURST SOUTH	96	52	LISLE MAIN (52)	\$209,847.91
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$47,740.59
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$205,606.76
96	PLAINFIELD	96	126	NAPERVILLE (126)	\$244,557.24
96	HINSDALE- YORK RD	96	203	HINSDALE-YORK RD (203)	\$25,461.63
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$55,572.80
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$908,951.49
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$26,957.09
96	CUMBERLAND	96	79	SCHAUMBURG (79)	\$64,262.91
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$44,902.12
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$248,419.91
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$458,603.22
96	BEDFORD PARK	96	126	NAPERVILLE (126)	\$51,575.85
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$256,942.16
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$60,747.67
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$29,397.63
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$119,161.00
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$78,547.85
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$414,877.21
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$163,355.92
		96	79	SCHAUMBURG (79)	\$25,746.88
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$50,039.94
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$1,317,750.15
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$30,421.55
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$109,126.71
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$86,241.18
96	LAGRANGE	96	126	NAPERVILLE (126)	\$44,778.37
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$50,387.91
96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235)	\$29,805.77
96	NAPERVILLE	96	210	NAPERVILLE SOUTH (210)	\$482,981.96
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$138,427.74
96	WILLOWBROOK	96	126	NAPERVILLE (126)	\$200,243.24
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$208,773.47
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$304,812.43
96	WESTMONT	96	66	WESTMONT (66)	\$28,340.75

REDACTED

96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$31,884.46
96	LAGRANGE	96	126	NAPERVILLE (126)	\$129,784.30
96	MONROE STREET	96	3	GLEN ELLYN SOUTH (3)	\$71,354.21
96	LAGRANGE	96	126	NAPERVILLE (126)	\$344,178.49
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$29,471.62
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$25,714.59
96	LAGRANGE	96	126	NAPERVILLE (126)	\$74,972.27
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$34,566.40
96	WESTMONT	96	126	NAPERVILLE (126)	\$163,198.93
96	PLAINFIELD	96	221	PLAINFIELD (221)	\$375,583.64
96	NAPERVILLE	96	210	NAPERVILLE SOUTH (210)	\$358,496.04
96	PALOS HILLS	96	126	NAPERVILLE (126)	\$119,990.70
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$376,925.43
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$62,217.82
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$62,393.76
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$82,977.46
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$71,947.65
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$273,053.92
		96	52	LISLE MAIN (52)	\$60,600.54
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$95,462.36
96	LAGRANGE	96	126	NAPERVILLE (126)	\$184,166.92
96	WILLOWBROOK	96	126	NAPERVILLE (126)	\$29,432.91
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$102,644.58
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$96,647.09
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$72,038.38
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$242,879.44
		96	92	GLEN ELLYN NORTH (92)	\$86,646.96
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$405,307.67
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$96,179.34
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$31,724.17
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$39,101.07
96	LAGRANGE	96	126	NAPERVILLE (126)	\$296,526.62
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$34,713.86
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$63,985.89
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$25,711.34
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$97,209.02
96	MOUNT PROSPECT II/GOLF	96	126	NAPERVILLE (126)	\$70,208.26
				NAPERVILLE	

REDACTED

		96	235	----- DOWNTOWN (235)	\$114,857.68
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$43,307.80
96	GLEN ELLYN SOUTH	96	3	GLEN ELLYN SOUTH (3)	\$89,055.10
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$60,411.57
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$195,478.15
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$209,040.22
96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235)	\$292,616.24
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$64,935.96
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$105,460.63
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$57,064.17
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$511,856.66
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$26,519.21
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$326,155.28
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$290,793.29
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$120,613.19
96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235)	\$234,453.08
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$157,891.79
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$43,260.67
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$577,469.22
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$195,761.13
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$42,065.29
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$46,047.76
96	LAGRANGE	96	126	NAPERVILLE (126)	\$387,853.50
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$100,534.28
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$27,052.95
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$46,690.48
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$161,693.89
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$8,470,690.96
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$106,047.23
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$993,930.83
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$265,417.21
96	HINSDALE- YORK RD	96	126	NAPERVILLE (126)	\$149,712.01
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$117,555.35
96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235)	\$75,966.07
96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235)	\$75,966.07
96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235)	\$226,775.10
96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235)	\$75,966.56
96	LAGRANGE	96	126	NAPERVILLE (126)	\$61,415.45

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96	NAPERVILLE	96	126	NAPERVILLE (126)	\$53,986.85
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$329,232.62
96	LAGRANGE	96	126	NAPERVILLE (126)	\$99,475.02
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$82,556.20
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$71,288.40
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$78,198.21
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$104,619.21
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$63,089.30
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$55,003.30
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$150,737.58
		96	92	GLEN ELLYN NORTH (92)	\$179,270.38
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$44,397.34
96	NAPERVILLE DOWNTOWN	96	235	NAPERVILLE DOWNTOWN (235)	\$90,000.00
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$290,707.12
96	NAPERVILLE SOUTH	96	210	NAPERVILLE SOUTH (210)	\$29,275.20
96	NAPERVILLE	96	126	NAPERVILLE (126)	\$70,398.76
96	WESTMONT	96	66	WESTMONT (66)	\$1,161,445.01
96	HINSDALE-YORK RD	96	126	NAPERVILLE (126)	\$46,647.05

[14 Open Deficiency Retn.](#)
Pandia

DAVID SWANSON ([sign out](#))
Current Book of Business: [DAVID SWANSON \(KTS\)](#)
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Javascript Error

Your browser does not support Javascript. You must enable Javascript for this application to function properly.
Please enable Javascript and refresh this application.

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Status: Active

- ☒ (1)
- ☒ (0)

LaSalle Financial Services Client Service			
TAX ID NUMBER	HOME BRANCH	HINSDALE-YORK RD (213)	
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)	
WORK PHONE	SERVICING FC	DAVID SWANSON	
BIRTH DATE	FC ASSIGNED TO CLIENT	NA (At Least 6 years & months)	
AGE	PREVIOUS FC		
PROFILE NAME		Last Modified: May 6, 2003	
UNTITLED PROFILE - 5/6/2003		Account Title	
PROFILE-PERSON ID	OCCUPATION		
MARITAL STATUS	EMPLOYER		
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET		
ESTIMATED NET WORTH	EST. LIQUID NET WORTH		
RISK TOLERANCE	OBJECTIVE 1		
TIME HORIZON	OBJECTIVE 2		

REDACTED

SHOW CLOSED ACCOUNTS

PROVIDER/ACCOUNT NUMBER	ACCOUNT LEASE	MARKET VALUE
<input checked="" type="checkbox"/> JACKSON NATIONAL LIFE		
TRANSACTIONS - POSITIONS		\$60,131.24
TOTAL		\$60,131.24
<input type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$60,131.24

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> Client Detail

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Status: Active

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LaSalle Financial Services Client Sheet		
SOCIAL SECURITY #	HOME BRANCH	HINSDALE YORK RD (203)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	N/A (At Least 0 years 5 months)
AGE	PREVIOUS PC	
PROFILE NAME		Last Modified: May 1, 2006
Main Scenario - 5/1/2006		Account Title
PROFILE PERSON ID	OCCUPATION	Senior VP Controller
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> JACKSON NATIONAL LIFE	TRANSACTIONS - POSITIONS	\$12,759.84
TOTAL		\$12,759.84
<input checked="" type="checkbox"/> NATIONWIDE LIFE INSURANCE	TRANSACTIONS - POSITIONS	\$39,140.07
TOTAL		\$39,140.07
<input checked="" type="checkbox"/> NYS	TRANSACTIONS - POSITIONS	\$184,862.34
	TRANSACTIONS - POSITIONS	\$42,530.29
	TRANSACTIONS - POSITIONS	\$34,080.97
	TRANSACTIONS - POSITIONS	\$10,617.55
	TRANSACTIONS - POSITIONS	\$7,280.82
	TRANSACTIONS - POSITIONS	\$2,194.25
	TRANSACTIONS - POSITIONS	\$2,194.25
TOTAL		\$283,776.48
GRAND TOTAL		\$335,670.40

REDACTED

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Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

☒ Home Branch
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- print

REDACTED

Status: Active

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LaSalle Financial Services Client Sheet		
SOCIAL SECURITY #	HOME BRANCH	HINSDALE YORK RD (203)
HOME PHONE	SERVICING BRANCH	NAFEEVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	NA (At Least 6 years 3 months)
AGE	PREVIOUS PC	
PROFILE NAME	Last Modified:	February 7, 2005
Main Scenario - 2/7/2005	Account Title:	
PROFILE PERSON ID	OCCUPATION	Computer
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	
	OBJECTIVE 3	

REDACTED

PROPERTY/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
NET		
TRANSACTIONS - POSITIONS		\$68,267.55
TOTAL		\$68,267.55
GRAND TOTAL		\$68,267.55

(2)

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DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

☐ My Client Summary Page
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Status: Active

☐ (32)☐ (0)

LaSalle Personal Services Client Share		October 15, 2005
SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	3 years 2 months
AGE	PREVIOUS FC	
PROFILE NAME		Last Modified: February 15, 2006
Main Scenario - 2/15/2006		Account Title: Admin
PROFILE PERSON ID	OCCUPATION	REDACTED
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

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PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input type="checkbox"/> HARTFORD LIFE INSURANCE		
TRANSACTIONS - POSITIONS		\$98,185.15
TOTAL		\$98,185.15
<input type="checkbox"/> NPS		
TRANSACTIONS - POSITIONS		\$183,236.70
TOTAL		\$183,236.70
GRAND TOTAL		\$281,421.85

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> Client Detail

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Status: Active

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LaSalle Financial Services Client Slice		
SOCIAL SECURITY #	HOME BRANCH	HINSDALE YORK RD (203)
HOME PHONE	SERVICING BRANCH	NAFERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	N/A (At Least 6 years & 6 months)
AGE	PREVIOUS FC	
PROFILE NAME:		Last Modified: April 24, 2006
Main Scenario - 4/24/2006		Account Title:
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	
	OBJECTIVE 3	

REDACTED

SHOW CLOSED ACCOUNTS

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINK	MARKET VALUE
<input checked="" type="checkbox"/> JACKSON NATIONAL LIFE		
TRANSACTIONS - POSITIONS		\$40,466.50
TOTAL		\$40,466.50
<input checked="" type="checkbox"/> CLOSED ACCOUNTS		
		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$40,466.50

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PandiaDAVID SWANSON ([sign out](#))Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

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Status: Active

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LaSalle Financial Services Client Data		March 28, 2008
SOCIAL SECURITY #	HOME BRANCH	LAGRANGE (136)
HOME PHONE	SERVICING BRANCH	HAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	2 years 8 months
AGE	PREVIOUS FC	
PROFILE NAME	Last Modified	April 6, 2006
Main Scenario - 4/6/2006	Account Title	
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

☒ SHOW CLOSED ACCOUNT(S)

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> NPS	TRANSACTIONS - POSITIONS	\$49,520.13
	TRANSACTIONS - POSITIONS	\$13,333.39
	TRANSACTIONS - POSITIONS	\$9,112.50
TOTAL		\$71,966.03
<input checked="" type="checkbox"/> (CLOSED ACCOUNT(S))		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$71,966.03

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Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

☐ 14 Open Deficiency Report
☐ Lowside navigation

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LaSalle Financial Services Client Data		February 9, 2005	
SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE SOUTH (210)	
HOME PHONE	SERVICING BRANCH	NAPERVILLE SOUTH (210)	
WORK PHONE	SERVICING PC	DAVID SWANSON	
BIRTH DATE	PC ASSIGNED TO CLIENT	1 year 10 months	
AGE	PREVIOUS PC		
PROFILE NAME	Last Modified:	February 17, 2006	
American Funds - 2/27/2006	Account Title:		
PROFILE PERSON ID	OCCUPATION		
MARITAL STATUS	EMPLOYER		
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET		
ESTIMATED NET WORTH	EST. LIQUID NET WORTH		
RISK TOLERANCE	OBJECTIVE 1		
TIME HORIZON	OBJECTIVE 2		

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PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input type="checkbox"/> HARTFORD LIFE INSURANCE		
TOTAL	TRANSACTIONS - POSITIONS	\$46,767.48
		\$46,767.48
<input type="checkbox"/> NFS		
TOTAL	TRANSACTIONS - POSITIONS	\$59,995.62
		\$59,995.62
<input type="checkbox"/> CLOSED ACCOUNT(S)		
TOTAL		\$0.00
		\$8.08
GRAND TOTAL		\$106,763.10

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Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KT3)

> Client Detail

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Status: Active

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LaSalle Financial Services Client Space		
SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year
AGE	PREVIOUS FC	SERVICE BY LBE ONLY
PROFILE NAME:		Last Modified: July 9, 2007
UNTITLED PROFILE - 7/9/2007		Account Title:
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

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SHOW CLOSED ACCOUNT(S)

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input type="checkbox"/> HARTFORD LIFE INSURANCE		
	TRANSACTIONS - POSITIONS	\$44,169.10
TOTAL		\$44,169.10
<input type="checkbox"/> NFS		
	TRANSACTIONS - POSITIONS	\$35,346.35
TOTAL		\$35,346.35
<input type="checkbox"/> CLOSED ACCOUNT(S)		
		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$79,515.46

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> Client Detail

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LaSalle Financial Services Client Sheet		
SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	7/9/2007
AGE	PREVIOUS PC	SERVICE BY LEE ONLY
PROFILE NAME:		Last Modified: July 9, 2007
UNTITLED PROFILE - 7/9/2007		Account Title:
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

Status: Active

(32)

(6)

SHOW CLOSED ACCOUNT(S)

PROVIDER / ACCOUNT NUMBER	ACCOUNT LEGS	MARKET VALUE
HARTFORD LIFE INSURANCE		
TRANSACTIONS - POSITIONS		\$44,169.10
TOTAL		\$44,169.10
NFS		
TRANSACTIONS - POSITIONS		\$35,346.35
TOTAL		\$35,346.35
CLOSED ACCOUNT(S)		
		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$79,515.46

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Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

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Status: Active

- ☒ (14)
- ☒ (10)

LaSalle Financial Services Client Space

SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	2 years 10 months
AGE	PREVIOUS FC	
PROFILE NAME:	Last Modified:	November 25, 2003
Main Scenario - 11/25/2003	Account Title:	
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE		
TRANSACTIONS - POSITIONS		
TOTAL		\$162,443.23
		\$162,443.23
<input checked="" type="checkbox"/> NFS		
TRANSACTIONS - POSITIONS		
TOTAL		\$118,211.16
		\$118,211.16
GRAND TOTAL		\$280,654.40

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Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

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Status: Active

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LaSalle Financial Services Client Sheet	
SOCIAL SECURITY #	HOME BRANCH HINSDALE YORK RD (203)
HOME PHONE	SERVICING BRANCH NAPERVILLE (126)
WORK PHONE	SERVICING PC DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT N/A (At Least 6 years & months)
AGE	PREVIOUS PC
PROFILE NAME	Last Modified: June 22, 2007
Main Scenario - 6/28/2007	Account Title:
PROFILE PERSON ID	OCCUPATION
MARITAL STATUS	EMPLOYER
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET
ESTIMATED NET WORTH	EST. LIQUID NET WORTH
RISK TOLERANCE	OBJECTIVE 1
TIME HORIZON	OBJECTIVE 2

REDACTED

SHOW CLOSED ACCOUNT(S)

PROVIDER / ACCOUNT NUMBER	ACCOUNT LEVEL	MARKET VALUE
<input type="checkbox"/> GENWORTH FINANCIAL	TRANSACTIONS - POSITIONS	\$34,347.90
TOTAL		\$34,347.90
<input type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$146,941.24
	TRANSACTIONS - POSITIONS	\$111,092.34
	TRANSACTIONS - POSITIONS	\$12,430.94
TOTAL		\$270,464.53
<input type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$304,812.43

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> Client Detail

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- [b.willie.naperville](#)

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LaSalle Financial Services Client Sheet

SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 years 6 months
AGE	PREVIOUS FC	JOHN KUHL
PROFILE NAME	Last Modified	October 21, 2006
New Kuhl - 10/21/2006	Account Title	
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

☒ **Status: Active**

☒ (19)

☒ (1)

☒ **SHOW CLOSED ACCOUNT(S)**

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> FRANKLIN/TEMPLETON DIST., INC.	TRANSACTIONS - POSITIONS	\$122,859.97
	TRANSACTIONS - POSITIONS	\$93,794.35
	TRANSACTIONS - POSITIONS	\$86,218.34
	TRANSACTIONS - POSITIONS	\$72,451.07
TOTAL		\$375,321.95
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$83,279.27
TOTAL		\$83,279.27
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$458,601.21

REDACTED

REDACTED

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

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Status: Active

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LaSalle Financial Services Client Space		December 14, 2005	
SOCIAL SECURITY #	HOME BRANCH	HAPERVILLE (126)	
HOME PHONE	SERVICING BRANCH	HAPERVILLE (126)	
WORK PHONE	SERVICING FC	DAVID SWANSON	
BIRTH DATE	FC ASSIGNED TO CLIENT	1 page	
AGE	PREVIOUS FC		
PROFILE NAME	Last Modified:	September 6, 2007	
Main Scenario - 9/6/2007	Account Title:		
PROFILE PERSON ID	OCCUPATION		
MARITAL STATUS	EMPLOYER		
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET		
ESTIMATED NET WORTH	EST. LIQUID NET WORTH		
RISK TOLERANCE	OBJECTIVE 1		
TIME HORIZON	OBJECTIVE 2		

REDACTED

PROVIDER/ACCOUNT NUMBER	ACCOUNT LINES	MARKET VALUE
<input checked="" type="checkbox"/> NFS		
TRANSACTIONS - POSITIONS		
TOTAL		\$32,677.19
GRAND TOTAL		\$32,677.19

REDACTED

Pandia

DAVID SWANSON ([sign out](#))

Current Book of Business: [DAVID SWANSON \(KTS\)](#)

> Client Detail

- ☒ [Home Defenses: KTS](#)
 - ☒ [David Swanson](#)
 - [client focus](#)
 - [documents](#)
 - [report suite](#)
-
- [help](#)
 - [print](#)

REDACTED

LaSalle Financial Services Client Show

SOCIAL SECURITY #	HOME BRANCH	HINSDALE-YORK RD (203)
HOME PHONE	SERVICING BRANCH	NAPEEVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	N/A (At Least 6 years 3 months)
AGE	PREVIOUS PC	
PROFILE NAME:	Last Modified:	August 4, 2005
Main Scenario - 8/4/2005	Account Title:	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

☒ (4)

☒ (6)

[SHOW CLOSED ACCOUNT\(S\)](#)

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> KEMPER INVESTORS LIFE	TRANSACTIONS - POSITIONS	\$72,781.64
TOTAL		\$72,781.64
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$190,062.72
TOTAL		\$59,407.86
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$312,252.23

REDACTED

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: [DAVID SWANSON \(KT3\)](#)> [CLIENT FOCUS](#) > [CLIENT SEARCH](#)

- [show/hide navigation](#)

- [client focus](#)

- [documents](#)

- [report suite](#)

- [help](#)

- [print](#)

CREATE CLIENT LIST WHERE...

SSN / TAXID	is equal to		SEARCH
-------------	-------------	--	---------------

OR

CLIENT NAME	starts with	FIRST NAME	
LAST NAME			
ADDRESS		contains	STREET ADDRESS
		is equal to	CITY STATE ZIP CODE
PHONE NUMBER		is equal to	
CLIENTS' INTERESTS		include	
ASSET VALUE		is greater than	
			12/5/2007
SERVICING BRANCH		ANY BRANCH	
INVESTS IN PRODUCT		CUSIP OR SYMBOL	
ACCOUNT TYPE		ANY TYPE	
HAS ACCOUNT WITH		ANY PROVIDER	
<input type="checkbox"/> INCLUDE DECEASED CLIENTS			SEARCH

OR

MOST RECENT SEARCHES
SEARCH

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

- ☒ Home Navigation

- client focus
- documents
- report suite

- help
- print

REDACTED

LaSalle Financial Services Client Since July 14, 2005

SOCIAL SECURITY #	HOME BRANCH	NAFERVILLE SOUTH (210)
HOME PHONE	SERVICING BRANCH	NAFERVILLE SOUTH (210)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year 5 months
AGE	PREVIOUS FC	
PROFILE NAME		Last Modified: September 26, 2005
Main Scenario - 9/20/2005		Account Title
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

SHOW CLOSED ACCOUNT(S)

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINES	MARKET VALUE
<input checked="" type="checkbox"/> AIG SUN AMERICA	TRANSACTIONS - POSITIONS	\$61,369.90
TOTAL		\$61,369.90
<input checked="" type="checkbox"/> GENWORTH FINANCIAL	TRANSACTIONS - POSITIONS	\$89,417.08
TOTAL		\$89,417.08
<input checked="" type="checkbox"/> NFS	TRANSACTIONS - POSITIONS	\$63,535.30
TOTAL		\$63,535.30
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$214,322.29

REDACTED

Pandia

DAVID SWANSON (sign out)
Current Book of Business: DAVID SWANSON (KTS)
 > Client Detail

- 15 Open Deficiency Items
Lowside navigation
- client focus
- documents
- report suite

- help
- print

REDACTED

Status: Active

- ☒ (37)
- ☒ (0)

LaBelle Financial Services Client Since April 23, 2006

SOCIAL SECURITY #	HOME BRANCH	HINSDALE-YORK RD (203)
HOME PHONE	SERVICING BRANCH	HINSDALE-YORK RD (203)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year 7 months
AGE	PREVIOUS FC	
PROFILE NAME	Last Modified	May 18, 2006
Main Scenario - 5/18/2006	Account Title	
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

PROVIDER / ACCOUNT NUMBER	ACCOUNT LEVELS	MARKET VALUE
<input checked="" type="checkbox"/> NFS		
	TRANSACTIONS - POSITIONS	\$14,449.30
	TRANSACTIONS - POSITIONS	\$11,012.33
TOTAL		\$25,461.63
GRAND TOTAL		\$25,461.63

REDACTED

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

- ☐ [My Own Business Page](#)
- ☐ [White Paper/Asset](#)

- [client focus](#)
- [documents](#)
- [report state](#)

- [help](#)
- [print](#)

REDACTED

Status: Active

☒ (12)☒ (8)

Lasalle Financial Services Client Page		
SOCIAL SECURITY #	HOME BRANCH	HINSDALE YORK RD (203)
HOME PHONE	SERVICING BRANCH	HAFERVILLE (126)
WORK PHONE	SERVICING PC	DAVID SWANSON
BIRTH DATE	PC ASSIGNED TO CLIENT	N/A (At Least 6 years 8 months)
AGE	PREVIOUS PC	
PROFILE NAME	Last Modified	February 7, 2005
Leonard Brokerage (F - 2/7/2005)	Account Title	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

SHOW CLOSED ACCOUNT(S)

PROVIDER / ACCOUNT NUMBER	ACCOUNT TYPE	MARKET VALUE
<input checked="" type="checkbox"/> ING		
TRANSACTIONS - POSITIONS		\$63,771.44
TOTAL		\$63,771.44
<input checked="" type="checkbox"/> NATIONWIDE LIFE INSURANCE		
TRANSACTIONS - POSITIONS		\$70,042.60
TOTAL		\$70,042.60
<input checked="" type="checkbox"/> NFS		
TRANSACTIONS - POSITIONS		\$112,981.60
TRANSACTIONS - POSITIONS		\$1,624.26
TOTAL		\$114,605.87
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		
		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$248,419.91

REDACTED

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: DAVID SWANSON (KTS)

> Client Detail

- ☒ [14-Day Refinance Rate](#)
- [14-Day Refinance Rate](#)
- [client focus](#)
- [documents](#)
- [report suite](#)
- [help](#)
- [print](#)

REDACTED

LaSalle Financial Services Client Sheet

SOCIAL SECURITY #	HOME BRANCH	WESTMONT (66)
HOME PHONE	SERVICING BRANCH	NAFERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	N/A (At Least 3 years & months)
AGE	PREVIOUS FC	
PROFILE NAME	Last Modified:	July 10, 2007
Main Scenario - 7/10/2007	Account Title:	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	
	OBJECTIVE 3	

REDACTED

[SHOW CLOSED ACCOUNT\(S\)](#)

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE		
	TRANSACTIONS - POSITIONS	\$37,183.93
TOTAL		\$37,183.93
<input checked="" type="checkbox"/> NBS		
	TRANSACTIONS - POSITIONS	\$102,914.40
	TRANSACTIONS - POSITIONS	\$23,100.59
TOTAL		\$126,015.00
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		
		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$163,198.93

REDACTED

PandiaDAVID SWANSON ([sign out](#))Current Book of Business: [DAVID SWANSON \(KTS\)](#)

> Client Detail

- ☒ [My Own Retirement Plan](#)
- ☐ [My Other Retirement](#)

- [client focus](#)
- [documents](#)
- [report suite](#)

- [help](#)
- [print](#)

REDACTED

Status: Active

☒ (37)☒ (6)

LaSalle Financial Services Client Sheet		
SOCIAL SECURITY #	HOME BRANCH	NAPERVILLE (126)
HOME PHONE	SERVICING BRANCH	NAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year 6 months
AGE	PREVIOUS FC	SERVICE BY LBE ONLY
PROFILE NAME:	Last Modified:	October 2, 2007
Nationwide VA - 10/3/2007	Account Title:	
PROFILE-PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

☒

SHOW CLOSED ACCOUNT(S)

PROVIDER / ACCOUNT NUMBER	ACCOUNT LINKS	MARKET VALUE
<input checked="" type="checkbox"/> HARTFORD LIFE INSURANCE		
	TRANSACTIONS - POSITIONS	\$84,787.60
	TRANSACTIONS - POSITIONS	\$77,019.26
TOTAL		\$161,807.46
<input checked="" type="checkbox"/> JOHN HANCOCK LIFE INSURANCE		
	TRANSACTIONS - POSITIONS	\$102,488.63
TOTAL		\$102,488.63
<input checked="" type="checkbox"/> NATIONWIDE LIFE INSURANCE		
	TRANSACTIONS - POSITIONS	\$112,629.33
TOTAL		\$112,629.33
<input checked="" type="checkbox"/> CLOSED ACCOUNT(S)		
		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$376,925.43

☒

REDACTED

Pandia

DAVID SWANSON (sign out)

Current Book of Business: DAVID SWANSON (KT8)

> Client Detail

- [Home](#)
- [My Open Policies](#)
- [My Open Policies](#)

- [client focus](#)
- [documents](#)
- [report suite](#)

- [help](#)
- [print](#)

REDACTED

Status: Active

☐ (24)

☐ (0)

LaSalle Financial Services Client Data		
SOCIAL SECURITY #	HOME BRANCH	MAPERVILLE (126)
HOME PHONE	SERVICING BRANCH	MAPERVILLE (126)
WORK PHONE	SERVICING FC	DAVID SWANSON
BIRTH DATE	FC ASSIGNED TO CLIENT	1 year 6 months
AGE	PREVIOUS FC	SERVICE BY LBE ONLY
PROFILE NAME:		Last Modified: June 15, 2006
Nationwide Variable - 6/15/2006		Account Title:
PROFILE PERSON ID	OCCUPATION	
MARITAL STATUS	EMPLOYER	
APPROX. ANNUAL INCOME	FEDERAL TAX BRACKET	
ESTIMATED NET WORTH	EST. LIQUID NET WORTH	
RISK TOLERANCE	OBJECTIVE 1	
TIME HORIZON	OBJECTIVE 2	

REDACTED

SHOW CLOSED ACCOUNT(S)

PROVIDER/ACCOUNT NUMBER	ACCOUNT TYPE	MARKET VALUE
<input type="checkbox"/> HARTFORD LIFE INSURANCE		
	TRANSACTIONS - POSITIONS	\$133,943.96
TOTAL		\$133,943.96
<input type="checkbox"/> NATIONWIDE LIFE INSURANCE		
	TRANSACTIONS - POSITIONS	\$141,965.54
TOTAL		\$141,965.54
<input type="checkbox"/> CLOSED ACCOUNT(S)		
		\$0.00
TOTAL		\$0.00
GRAND TOTAL		\$275,909.50

REDACTED

EXHIBIT O

LIB List

From: "Amy C Herbert" <Amy.Herbert@Planco.com>
To: "douglas.brymer" <douglas.brymer@abnamro.com>
Date: 11/9/2007 5:08:02 PM

Attachments: Client List for Douglas F Brymer.doc (25088 bytes),

Doug,

Per Adelaide's request, enclosed is your LIB client list.

If you need anything else, please let me know.

Thank you,

Amy C. Herbert
PLANCO / The Hartford
615-297-0981 Office
615-297-9394 Fax
amy.herbert@planco.com
www.hartfordinvestor.com

(See attached file: Client List for Douglas F Brymer.doc)

The Director M